

EXPRESS MAIL LABEL
NO. EV 432653194 US

3422/3 (PHA 3101.5)
PATENT

1 File 1621



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit 1621

Application of Dennis P. Phillion
Serial No. 10/664,123
Filed September 17, 2003
Confirmation No. 4752
For ALPHA-HALOENAMINE REAGENTS
Examiner Shailendra Kumar

June 3, 2004

TO THE COMMISSIONER FOR PATENTS,

SIR:

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, Applicant submits the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record therein. In accordance with the Pre-OG notice of July 11, 2003 partially waiving the requirements of 37 C.F.R. 1.98(a)(2)(i), copies of the U.S. patent documents are not supplied.

A check in the amount of \$180.00 is enclosed to cover the fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement.

Respectfully submitted,

Derick E. Allen, Reg. No. 43,468
SENNIGER, POWERS, LEAVITT & ROEDEL
One Metropolitan Square, 16th Floor
St. Louis, Missouri 63102
(314) 231-5400

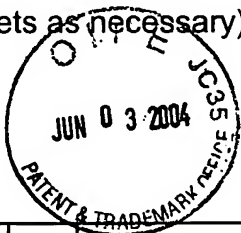
DEA/skd
*Enclosure

06/08/2004 RADD01 00000004 10664123

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PTO/SB/08A SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/664,123
				Filing Date	September 17, 2003
				Confirmation Number	4752
				First Named Inventor	Dennis P. Phillion
				Group Art Unit	1621
				Examiner Name	Shailendra Kumar
Sheet	1	of	1	Attorney Docket No.	3422/3 PHA 3101.5



U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY		
		Number	Kind Code ² (if known)				
	70	5,273,991	A	Lee, Moses N.F.	12-28-1993		
FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
		Office	Number ⁴	Kind Code ² (if known)			
OTHER ART - NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.					T ⁶

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached or place an "A" here if English language abstract is attached..

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

FEE TRANSMITTAL

Application Number 10/664,123
 Filing Date September 17, 2003
 Confirmation No. 4752
 Inventor(s) Dennis P. Phillion
 Group Art Unit 1621
 Examiner Name Shailendra Kumar
 Attorney Docket Number 3422/3 (PHA 3101.5)



METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- ☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- ☐ Applicant claims small entity status.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

FEE CALCULATION

1. ☐ BASIC FILING FEE Subtotal (1) \$ _____
 (Type: _____)
2. ☐ EXTRA CLAIM FEES Subtotal (2) \$ _____
 Total Claims _____
 Independent Claims _____
 Multiple Dependent Claims _____
3. ☒ ADDITIONAL FEES Subtotal (3) \$ 180.00
 - ☐ Surcharge - late filing fee or oath
 - ☐ Surcharge - late provisional filing fee or cover sheet
 - ☐ Extension for reply within _____ month
 - ☐ Notice of Appeal
 - ☐ Filing a Brief in Support of an appeal
 - ☐ Request for ex parte Reexamination
 - ☐ Petitions to the Commissioner
 - ☒ Submission of Information Disclosure Statement
 - ☐ Recording each patent assignment per property
 - ☐ Request for Continued Examination
 - ☐ Other: _____

TOTAL AMOUNT OF PAYMENT \$ 180.00

Derick E. Allen 6-3-04
 Derick E. Allen, Reg. No. 43,468 Date

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